

COPY

PTO/SB/106 (3-00)

Approved for use through 10/31/02. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Declaration and Power of Attorney for Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LIGHT EMITTING DEVICE AND

MANUFACTURING METHOD THEREOF.

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐ _____ の日に出願され、
この出願の米国出願番号またはPCT国際出願番号は、
_____ であり、且つ
_____ の日に補正された出願（該当する場合）

☐ was filed on _____
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に記載されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the need of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

Japanese Language Declaration
(日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一国を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119(e)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

2001-019696

JAPAN

January 29, 2001

(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願日/月/年)

Priority Not Claimed

優先権主張なし



(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願日/月/年)



私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編第119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された題名で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、違邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration (日本語宣言)

委任状：私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁理士及び／または弁理士を任命する。(氏名及び登録番号を記載すること)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).
Scott C. Harris, Registration No. 32,030

書類送付先

Send Correspondence to:
Scott C. Harris
Fish & Richardson P.C.
PTO Customer No. 20985
4350 La Jolla Village Drive, Suite 500
San Diego, CA 92122

直通電話連絡先：(氏名及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Scott C. Harris
858 / 678-5070

唯一または第一発明者氏名		Full name of sole or first inventor	
Hajime KIMURA		Hajime KIMURA	
発明者の署名	日付	Inventor's signature	Date
Hajime KIMURA		Hajime KIMURA	01/16/2002
住所		Residence	
Kanagawa, Japan		Kanagawa, Japan	
国籍		Citizenship	
Japanese		Japanese	
郵便の宛先		Post Office Address	
c/o Semiconductor Energy Laboratory Co., Ltd.			
398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan			
第二共同発明者がいる場合、その氏名		Full name of second joint inventor, if any	
第二共同発明者の署名	日付	Second inventor's signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	
<p>(第三以下の共同発明者についても同様に記載し、署名をすること)</p> <p>(Supply similar information and signature for third and subsequent joint inventors.)</p>			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hajime Kimura
Serial No. : 10/061,018
Filed : January 29, 2002
Title : LIGHT EMITTING DEVICE AND MANUFACTURING METHOD THEREOF

Art Unit : 2875
Examiner : Dalei Dong

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


ASSOCIATE POWER OF ATTORNEY

Please recognize John F. Hayden, Reg. No. 37,640; James E. Mrose, Reg. No. 33,264; Phyllis K. Kristal, Reg. No. 38,524; W. Karl Renner, Reg. No. 41,265; Gregory A. Walters, Reg. No. 41,366; Diana DiBerardino, Reg. No. 45,653; Joseph F. Key, Reg. No. 44,827; Harold H. Fox, Reg. No. 41,498; Scott R. Boalick, Reg. No. 42,337; Mark E. Wadzyk, Reg. No. 45,187; Benjamin D. Driscoll, Reg. No. 41,571; Ruffin B. Cordell, Reg. No. 33,487; Michael McKeon, Reg. No. 37,888; Linda Liu Kordziel, Reg. No. 39,732; Lauren A. Degnan, Reg. No. 40,584; Joseph V. Colaianni, Jr., Reg. No. 39,948; William G. Hughes, Jr., Reg. No. 46,112; William E. Booth, Reg. No. 28,933; John W. Freeman, Reg. No. 29,066; Timothy A. French, Reg. No. 30,175; G. Roger Lee, Reg. No. 28,963; John B. Pegram, Reg. No. 25,198; Thomas A. Rozylowicz, Reg. No. 50,620; Kevin Greene, Reg. No. 46,031; Barbara V. Benoit, Reg. No. 54,777; Brian T. Racilla, Reg. No. 54,382 and John E. Mauk, Reg. No. 54,579, as my associate attorneys/agents in the above application, with full powers.

Please direct all future official communications to Customer No. 26171.

Respectfully submitted,

Date: 10/9/03



Scott C. Harris
Reg. No. 32,030

Fish & Richardson P.C.
1425 K Street, N.W.
11th Floor
Washington, DC 20005-3500
Telephone: (202) 783-5070
Facsimile: (202) 783-2331
40192236.doc



UNITED STATES
PATENT AND
TRADEMARK OFFICE

RECEIVED

APR 15 2002

COPY SCH

07977/294001

APRIL 06, 2002

PTAS

FISH & RICHARDSON, P.C.
SAN DIEGO

Chief Information Officer
Washington, DC 20231
www.uspto.gov

FISH & RICHARDSON P.C.
SCOTT C. HARRIS
4350 LA JOLLA VILLAGE DRIVE, SUITE 500
SAN DIEGO, CALIFORNIA 92122



101980107A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/29/2002

REEL/FRAME: 012555/0771
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
KIMURA, HAJIME

DOC DATE: 01/16/2002

ASSIGNEE:
SEMICONDUCTOR ENERGY LABORATORY
CO., LTD.
398 HASE ATSUGI-SHI
KANAGAWA-KEN 243-0036, JAPAN

SERIAL NUMBER: 10061018
PATENT NUMBER:

FILING DATE: 01/29/2002
ISSUE DATE:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

COPY

* No Docketing Required *	
Reviewed by Practice Systems	Initials: JMG
Reviewed by Billing Secretary	Initials: [Signature]

02-12-2002

Substitute Form PTO-1595
 Attorney Docket No.: 07977-294001
 Client's Ref. No.: US5468



101980107

OVER SHEET
 JLY

10/06/02

10/06/02

01/29/02

Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): Hajime Kimura Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Semiconductor Energy Laboratory Co., Ltd. 398 Hase Atsugi-shi Kanagawa-ken 243-0036 JAPAN Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: January 16, 2002	4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: January 16, 2002 A. Patent Application No(s): B. Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name/address of party to whom correspondence concerning document should be mailed: SCOTT C. HARRIS PTO Customer No. 20985 Fish & Richardson P.C. 4350 La Jolla Village Drive, Suite 500 San Diego, California 92122	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <div style="display: flex; justify-content: space-between;"> <div> Scott C. Harris Reg. No. 32,030 Name of Person Signing </div> <div> Signature </div> <div> 1/29/02 Date </div> </div>	
Total number of pages including coversheet, attachments and document: 3	

10160892.doc

02/11/2002 AM 11:01 00000005 10061018

01 FC:581

.00 DP

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. **EL 716812575 US**

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, DC 20231.

January 29, 2002
 Date of Deposit

Signature

Gabe Lewis

Typed Name of Person Signing Certificate

COPY

FISH & RICHARDSON P.C.

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign(s), transfer(s) and set(s) over to:

Semiconductor Energy Laboratory Co., Ltd.

having the address: 398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan

(hereinafter designated as the "ASSIGNEE"), its successors and assigns, the entire right, title, and interest for the United States in the invention, and all applications for patent and any Letters Patent which may be granted therefor, including said application, and all United States Letters Patents which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of said Letters Patent when granted to be held by said ASSIGNEE, its successors, assigns or their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been and enjoyed by Assignor(s) if this assignment had not been made, the application being known by the Title:

LIGHT EMITTING DEVICE AND MANUFACTURING METHOD THEREOF

Attorney Docket No: 07977-294001 / US5468

for which the undersigned has/have executed an application for patent in the United States of America on the same day herewith. Where this instrument is not filed concurrently with the application, the following identifying information may be added after execution:

Serial No.

Filing Date:

1. The undersigned hereby agree(s) to sign and execute any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue application or in any amendments, extension or interference proceedings, or otherwise to secure the title thereto to the ASSIGNEE.

2. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

COPY

3. The undersigned agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the ASSIGNEE.

4. The undersigned hereby authorize(s) and request(s) the Commissioner of Patents in the United States to issue any and all Letters Patents resulting from said application or any division or divisions or continuing applications thereof to the said ASSIGNEE.

5. The undersigned hereby grant(s) to the firm of Fish & Richardson P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

01 / 16 / 2002
Date

Hajime KIMURA
Typed Name: Hajime KIMURA

This assignment may be signed before a U.S. Notary Public or before at least two witnesses who also sign here:

Date

Typed Name:

Date

Typed Name:

COPY